

REGULATION OF THE LEGAL PROFESSION IN WISCONSIN

FISCAL YEAR 2017-2018

Report of the Lawyer Regulation System



Keith L. Sellen, Director
Office of Lawyer Regulation

Attorney Gary Van Domelen, Chairperson
Board of Administrative Oversight

110 East Main Street, Suite 315, Madison, WI 53703 (608) 267-7274

www.wicourts.gov/olr

ANNUAL REPORT
FISCAL YEAR 2017-2018

Introduction

Pursuant to Supreme Court Rule (SCR) 21.03(6)(n) and 21.10(2)(e), the Office of Lawyer Regulation and Board of Administrative Oversight are filing this fiscal year 2017-2018 report on the lawyer regulation system.

Composition of the Lawyer Regulation System

“The lawyer regulation system is established to carry out the Supreme Court’s constitutional responsibility to supervise the practice of law and protect the public from misconduct by persons practicing law in Wisconsin.” SCR Chapter 21, Preamble. The composition and organization of the lawyer regulation system is depicted in Appendix 1. The persons currently serving in these organizations are identified in Appendix 2. Following is a description of the components.

Supreme Court

The Supreme Court supervises the lawyer regulation system, determines attorney misconduct and medical incapacity, and imposes discipline or directs other appropriate action in proceedings filed with the Court.

Office of Lawyer Regulation

The Office of Lawyer Regulation (OLR) consists of the Director, investigative and support staff, litigation counsel, and retained counsel. The office has the following duties.

- To receive and to respond to inquiries and grievances relating to attorneys.
- To investigate allegations of attorney misconduct or medical incapacity.
- To divert matters into an alternative to discipline program.
- To prosecute misconduct or medical incapacity proceedings.
- To investigate license reinstatement petitions.

District Committees

District Committees exist in each of the sixteen state bar districts, and consist of lawyers and public members appointed by the Supreme Court. District Committees perform the following duties under the supervision of the Director.

- To educate the bar and the public about the legal profession and ethical practice of law.
- To refer to the Director possible misconduct or medical incapacity matters.
- To assist in the investigation of possible misconduct or medical incapacity.
- To recommend to the Director the appropriate disposition of matters it investigated.

- To monitor an attorney's participation in an alternatives to discipline program or an attorney's compliance with conditions on practice.
- To assist in resolving minor disputes between an attorney and a client.

Preliminary Review Committee

The Preliminary Review Committee consists of fourteen members, nine lawyers and five public members appointed by the Court. The Committee is comprised of two seven-member panels, each having at least four lawyers and at least two public members. The panels have the following duties.

- To review the results of OLR and District Committee investigations and to determine whether there is cause to proceed in the matter.
- To review, upon request by a grievant, decisions by the Director to dismiss a grievance after investigation.
- To confer with the Board of Administrative Oversight and to suggest improvements in the operation of the Committee and its panels.

Board of Administrative Oversight

The Board of Administrative Oversight consists of twelve members, eight lawyers and four public members appointed by the Court. The Board has the following duties.

- To monitor the fairness, productivity, effectiveness, and efficiency of the system.
- To monitor the implementation of new procedures.
- To assess public and bar perceptions of the integrity of the system.
- To report its findings to the Supreme Court.
- To review the operation of the system with the Court, and to file an annual report.
- To propose substantive and procedural rules.
- To inform and educate the public and bar about the system.
- To propose an annual budget.

Special Investigative Panel

The Special Investigative Panel is composed of lawyers appointed by the Supreme Court who are not currently participating in the lawyer regulation system. The Director refers allegations of misconduct against attorneys currently participating in the system to a special investigator. In a referred matter, the special investigator performs the functions that the Director would normally perform, which may include evaluating, investigating, dismissing, diverting, or prosecuting the matter.

Special Preliminary Review Panel

The Special Preliminary Review Panel is composed of four lawyers and three public members appointed by the Supreme Court. In matters involving allegations against current participants in the lawyer regulation system, the panel reviews the special investigator's decision to close a matter without investigation or dismiss a matter after investigation, and reviews an investigative report to determine whether there is cause to proceed.

Referees

Referees are attorneys or reserve judges appointed by the Supreme Court to perform the following duties.

- To conduct hearings in proceedings alleging misconduct or medical incapacity.
- To conduct hearings on petitions for license reinstatement.
- To review consensual public or private reprimands submitted by the Director.

Overview of the Lawyer Regulation System

The Wisconsin Supreme Court created the lawyer regulation system to carry out the court's constitutional responsibility to supervise the practice of law and protect the public from misconduct by persons practicing law in Wisconsin. The court has adopted standards of professional conduct for attorneys and confers the privilege to practice law on an attorney on the condition of compliance with those standards. A failure to comply with the court's standards may constitute misconduct or may be evidence of a medical problem.

Initially, OLR staff screens all grievances. If the allegations made are not within OLR's jurisdiction, staff will close the file. If the grievant disagrees with the staff's decision, the grievant may make a written request for the Director's review of the closure. The Director's decision is final. After preliminary evaluation, staff may also forward the matter to another agency; attempt to reconcile the matter between the grievant and attorney if it is a minor dispute; or refer the matter to the Director for diversion or investigation.

If the grievance sets forth sufficient information to support an allegation of a violation of Chapter 20 of the Supreme Court Rules, OLR staff may initiate an investigation. OLR staff will send a letter to the respondent enclosing the grievance and requesting a response within 20 days. In most instances, staff will forward the attorney's response to the grievant for comments.

When OLR staff has the initial responses, the Director will determine whether: (a) an uncontested violation exists; (b) the grievance should be dismissed for lack of merit; (c) further staff investigation is needed; or (d) the matter should be assigned to a district investigative committee for further investigation, pursuant to SCR 22.04(1). If the grievance is further investigated by staff or a district committee, the respondent and the grievant will be kept informed.

After the investigation is completed by staff and/or a committee, the Director may dismiss the matter for lack of sufficient evidence of cause to proceed, divert the matter to an alternatives to discipline program, obtain respondent's consent to a private or public reprimand, or present the matter to the Preliminary Review Committee for a determination of whether there is cause to proceed. In those cases in which the Director dismisses, the grievant has 30 days after receiving written notice of the dismissal to make a written request for review of the decision by the Preliminary Review Committee. The decision of the Preliminary Review Committee is final.

When the director presents a matter to the Preliminary Review Committee and the Committee determines that the Director has established cause to proceed, the Director may file a complaint with the Supreme Court alleging misconduct. OLR, rather than the grievant, is the complainant in such a matter. If the Director files a complaint, an answer is required within 20 days of service of the complaint. Upon proof of service, the Supreme Court appoints a referee to hear the matter pursuant to SCR 22.13(3). The referee holds a scheduling conference to define the issues and to determine the extent of discovery. The referee then presides at a public hearing which is conducted as a trial of a civil action to the court. OLR must prove misconduct or medical incapacity by clear, satisfactory and convincing evidence.

Within 30 days after the conclusion of the hearing, the referee will submit his or her report to the Supreme Court, including findings of fact, conclusions of law, and a recommendation of dismissal or discipline. OLR or a respondent may file an appeal of the referee's report within 20 days after the report is filed. If no appeal is timely filed, the Supreme Court reviews the referee's report and determines appropriate discipline in cases of misconduct and appropriate action in cases of medical incapacity. The Court may, on its own motion, order the parties to file briefs.

Either the respondent or OLR may file a motion for reconsideration of the Supreme Court's decision within 20 days of the filing of the decision by the court. The filing of a motion for reconsideration does not stay enforcement of the judgment. The Supreme Court's final dispositions of disciplinary and medical incapacity proceedings are published in the *Wisconsin Reports* and in *The Wisconsin Lawyer*.

The Year in Review

Significant Lawyer Regulation System Developments

The number of pending matters is slightly up this year. At the end of the fiscal year, there were a total of 551 matters (including 203 formal investigations), up from 549 (including 196 formal investigations) at the end of last year.

Processing times increased overall due to increased processing time for matters at intake and litigation. Overall average processing time increased from 122 days last year to 129 days this year. The average processing time for intake matters increased from 42 days last year to 49 this year. The average processing time for formal investigations decreased from 286 days last year to 263 this year. The average processing time for complaints filed with the court increased from

409 days last year to 538 days this year. The percentage of matters completed within 90 days decreased from 78% last year to 77% this year. The percentage of matters completed within 180 days remained the same as last year at 86%.

There was a decrease in the number of older matters during the past year. The number of matters over a year old decreased from 166 last year to 152 this year.

The Preliminary Review Committee elected Attorney Nora Platt of Milwaukee chairperson and Attorney Robert Asti of Cedarburg as vice chairperson. The Committee met to consider matters on September 15, 2017, December 8, 2017, March 2, 2018 and June 8, 2018.

The Committee considered whether cause to proceed existed in 60 matters, and reviewed the Director's dismissals in 15 matters. The Board and Office of Lawyer Regulation appreciate the Committee's exceptional service this past year. Committee meetings will continue quarterly.

The Board of Administrative Oversight elected Attorney Gary Van Domelen of La Crosse chairperson and Attorney Joseph M. Russell of Milwaukee vice chairperson. The Board held meetings on September 8, 2017, December 1, 2017, March 2, 2018 and June 1, 2018. The Board continued the formal study of lawyer regulation experiences in grievances arising in criminal law and family law. Data collection is expected to be completed this fall. Professors Leslie Levin and Susan Fortney will analyze the data and report recommendations to the Board. The Board also made recommendations for improving efficiency and fairness to the Court and the Court's study committee.

The District Committees receive very few referrals, but continue to be helpful in resolving matters requiring a depth and breadth of legal and other professional expertise.

Special Investigators and the Special Preliminary Review Panel process matters involving allegations against attorneys who serve with the regular components of the regulation system. During fiscal year 2017-2018, special investigators received 31 matters and resolved 21 matters. The Special Preliminary Review Panel met on July 21, 2017, December 1, 2017 and March 9, 2018. The Panel considered 3 matters for cause and 8 matters on review.

The alternative to discipline program provides an effective way to improve an attorney's ability to practice in accordance with high professional standards. Frequently, this is a more effective measure than professional discipline. The Court has authorized diversion to an alternative program in situations where the program will likely benefit the attorney, and where the attorney will not likely harm the public. Alternative programs may include mediation, fee arbitration, law office management assistance, evaluation and treatment for alcohol and other substance abuse, psychological evaluation and treatment, medical evaluation and treatment, monitoring of practice or trust account procedures, continuing legal education, ethics school, and the multi-state professional responsibility examination. During the fiscal year, 54 attorneys were diverted to alternative programs and 67 attorneys completed diversions.

The central intake program provides for the receipt of inquiries and grievances concerning attorney conduct, and for the preliminary evaluation of grievances prior to any formal

investigation. Inquiries and grievances may be received by telephone; callers may use a toll free number to contact the Office of Lawyer Regulation. After the preliminary evaluation, the Central Intake staff may forward the matter to another appropriate agency, attempt to reconcile the matter if it is a minor dispute, close the matter if it does not present sufficient information to support an ethical allegation, or refer the matter for investigation or diversion to an alternative to discipline.

Central Intake received 1,760 inquiries and grievances. This is 106 fewer than the prior year. Of the matters evaluated in Central Intake this past year, approximately fourteen percent (14%) were forwarded for formal investigation. Four percent (4%) involved the resolution of minor disputes. Nine percent (9%) involved matters withdrawn by the grievant or closed due to no subsequent contact from the grievant. Two percent (2%) involved diversion programs. The remaining seventy-one percent (71%) were closed for lack of sufficient information to suggest an allegation of potential ethical misconduct.

Trust Account Program

The Trust Account Program is responsible for the administration of Wisconsin's overdraft notification rule, SCR 20:1.15(h), which requires attorneys to authorize their financial institutions to notify the Office of Lawyer Regulation of overdrafts on their trust accounts and fiduciary accounts. In addition, the Trust Account Program provides education and resources for lawyers and financial institutions regarding trust account regulations and the management of trust and fiduciary accounts.

OLR's Intake staff screens all incoming reports of overdrafts on lawyer trust and fiduciary accounts. Overdrafts reported to OLR due to bank errors and those that meet other criteria for closure without a full investigation are handled by that department. Overdrafts requiring full investigation are handled by Formal Investigators and the Trust Account Program Administrator.

OLR received a total of 60 reports of overdrafts on trust and fiduciary accounts of Wisconsin lawyers during Fiscal Year 2018. During that same period, overdraft notifications resulted in the following dispositions:

2 Year Suspension	1
15 Month Suspension	1
Court Issued Public Reprimand	1
Diversion	1
Dismissal after Diversion Program Successfully Completed	6
Dismissal after Investigation	18
Dismissal after Investigation/Advisory Letter	5
Director Approves IFOR	1
Director Approves De Minimis Dismissal	1
Temporary Suspension of Investigation Pending Reinstatement	3
Special Investigator – Dismissal	2
Director Approves De Minimis Closure with Advisory Letter	13
Closed without Investigation – Bank Errors	5
Closed without Investigation – Bank Errors/Advisory Letter	2
Closed without Investigation – Real Estate Exception	1

Overdraft investigations occasionally lead to the discovery of record-keeping deficiencies and other concerns that do not warrant the imposition of discipline. In such situations, an advisory letter is sent to the lawyer or law firm, explaining the concerns and providing information and guidance on the requirements of SCR 20:1.15 or another applicable rule. During Fiscal 2018, OLR sent 20 advisory letters, which included guidance on one or more of the following issues and record keeping requirements:

Accurate Record Keeping	1
Availability of Funds for Disbursement	3
Distinguish Checks	2
Maintenance Account (for bank fees and surcharges)	4
Monthly Reconciliation Deficiencies	3

Running Balance - Registers	4
Commingling of Funds	2
Internet/Electronic Transfers/Online Transfers/Telephone Transfers/Teller Transfers	4
Conflicts of Interest	1

Mary Hoeft Smith, OLR’s former Trust Account Program Administrator, presented half-day seminars on trust account management on October 6, 2017, and April 27, 2018. Attorneys Edward Hannan (Waukesha), and Diane Diel (Milwaukee) assisted OLR at these seminars, along with Mary Gilmeister, President of the Wisconsin ACH Association (WACHA), and Laura Nelson, an Education Specialist/Auditor at WACHA. Atty. Hannan spoke regarding lawyers’ fiduciary obligations and the burden-shifting rules. Attys. Peterson and Diel spoke about the proper handling of legal fees and the alternative to holding unearned fees in trust. Ms. Gilmeister and Ms. Nelson provided information about electronic banking in trust accounts. These seminars are open to lawyers and law office staff members, and are required in connection with trust account diversions.

Between November 2016 and January 2018, Hoeft Smith made appearances around the state, providing information to lawyers and legal assistants on the 2016 rule changes. Her co-presenter at these seminars was either Attorney Richard Cayo or Attorney Stacy Rosenzweig of Halling and Cayo, a law firm that represents lawyers in OLR investigations and disciplinary proceedings. During the 2018 fiscal year, these presentations were as follows:

1. 7/6/2017 Chippewa County Bar Association – Chippewa Falls
2. 7/20/2017 Wisconsin Law Journal – Milwaukee
3. 11/6/2017 Waukesha County Bar Association – Waukesha
4. 1/29/2018 Marquette Law School – Milwaukee

The web page of OLR’s Trust Account Program was revised in connection with the 2016 rule changes. For further information regarding the Trust Account Program go to: <https://www.wicourts.gov/services/attorney/trust.htm>

Survey of Matters

Overall Processing

The pending caseload is 551 matters, 2 matters more than a year ago. The average processing time increased from 122 days to 129 days. The percentage of matters resolved within 90 days decreased from 78% last year to 77% this year. Those resolved in 180 days remained the same as last year at 86%. The number of pending matters over a year old decreased this year, from 166 to 152.

Grievances

In an effort to inform the Supreme Court, the Bar, and the public of the source and nature of the grievances received and the areas of practice from which grievances arise, Appendices 8A – 8C break down by category the grievances received between July 1, 2017 and June 30, 2018. In describing the nature of the grievances, only the most serious allegation is reflected.

The allegations most commonly made in a grievance were lack of diligence by the lawyer entrusted with the legal matter (18.85%), scope of representation (12.76%), lack of communication (12.35%) and improper advocacy (11.25%). See Appendix 8A. The two areas of practice that produced the largest number of grievances during the year were criminal law and family law (see Appendix 8B). It is important to point out that while clients file the majority of grievances, anyone can file a grievance. Appendix 8C illustrates the sources from which grievances were received during the fiscal year.

Discipline

In fiscal year 2017-2018, 40 attorneys received a public disciplinary sanction, including 3 revocations by consent, 2 revocations imposed by the Supreme court and 18 suspensions. There were 5 public reprimands imposed by the Supreme Court and 12 public reprimands issued by Supreme Court Referees. Additionally, the court temporarily suspended 9 attorneys, and dismissed 2 disciplinary complaints. At the end of the year, 89 formal disciplinary matters were pending in the Supreme Court, down from 107 last year. Appendix 9 shows the numbers and percentages of attorneys receiving public discipline since fiscal year 1982-1983. Appendix 10 shows the type of misconduct found in public discipline decisions. Appendix 11 shows the areas of practice in which discipline was found in public decisions.

A Referee has authority, under SCR 22.09(3), to issue private reprimands pursuant to an agreement between the Director and the attorney. Typically, a private reprimand is imposed for an isolated act of misconduct that caused relatively minor harm. The Director does not enter an agreement for a private reprimand if public disclosure of the attorney's misconduct is necessary to protect the public. Private reprimands are retained permanently and are available as an aggravating factor on the issue of sanction if the attorney commits subsequent misconduct. As a means of educating the Bar, summaries of private reprimands, without any reference to or identification of the attorney involved, are printed every six months in the *Wisconsin Lawyer* magazine.

During this fiscal year, 19 attorneys received private reprimands. Fifty Four (54) attorneys entered the alternatives to discipline program and Sixty Seven (67) attorneys completed an alternative program.

Other dispositions included:

- 1503 inquiries that did not warrant investigation. These matters were closed after the initial intake evaluation because there was insufficient information to support an allegation of misconduct or were disputes that were resolved without investigation.
- 31 dismissals after investigation in cases where there was insufficient evidence of a violation.
- 12 dismissals with an advisory letter. This disposition occurs in cases where the evidence is insufficient to prove a violation, but where practical advice would be helpful to an attorney.
- 21 closed pending petition for reinstatement.

SUMMARY OF PUBLIC DISCIPLINE
Office of Lawyer Regulation
July 1, 2017 thru June 30, 2018

Attorney	Admitted	Location	Decided	Effective
<u>Revocation by Consent</u>				
Blessinger, Jeffrey M.	6/18/96	Baraboo, WI	12/21/17	12/21/17
Kleinsmith, Philip M.	4/5/99	Colorado Springs, CO	5/16/18	5/16/18
Steffes, Richard W.	5/26/70	Beaver Dam, WI	4/10/18	4/10/18
<u>Revocation</u>				
Brandt, Ronald L.	6/16/72	Winchester, MA	12/15/17	12/15/17
Magana, Sergio	9/12/12	Milwaukee, WI	11/28/17	11/28/17
<u>Two Year Suspension</u>				
Rostollan, Daniel J.	5/23/83	Sheboygan, WI	4/18/18	4/18/18
<u>One Year Suspension</u>				
Bauer, Michael R.	6/9/88	Madison, WI	5/16/18	6/27/18
Nora, Wendy A.	6/9/75	Minneapolis, MN	3/30/18	4/30/18
Petersen, Michael D.	9/22/08	Fond du Lac, WI	12/15/17	1/26/18
Trupke, Amie B.	6/24/02	Oregon, WI	4/24/18	6/5/18
<u>Fifteen Month Suspension</u>				
Ruppelt, Mark A.	5/23/94	Brookfield, WI	7/7/17	8/18/17
<u>Six Month Suspension</u>				
Baratki, Robert J.	5/21/90	Racine, WI	10/3/17	11/14/17
Cummings, Michael L.	11/20/97	Highland Park, IL	1/19/18	1/19/18
Marchan, Karene S.	5/20/96	Oak Creek, WI	4/10/18	4/10/18
<u>Four Month Suspension</u>				
Cohen, Steven M.	6/18/96	Madison, WI	11/17/17	12/29/17
Curtis, George W. Jr.	8/27/62	Oshkosh, WI	2/15/18	3/29/18
<u>Sixty Day Suspension</u>				
Buchanan, Brandon	4/11/14	Eau Claire, WI	4/19/18	4/19/18
Gray, Linda L.	9/13/82	East Troy, WI	4/18/18	5/30/18
Horsch, Robert W.	12/16/03	Sheboygan, WI	12/21/17	12/21/17
Kitto, Suzanne E.	6/16/72	Beloit, WI	6/19/18	7/31/18
Sarbacker, Steven J.	1/30/95	Portage, WI	9/15/17	10/27/17
Stewart, Alan R.	6/17/92	Appleton, WI	12/21/17	12/21/17
Toran, James E.	1/11/83	Milwaukee, WI	4-5-18	5/17/18

SUMMARY OF PUBLIC DISCIPLINE
Office of Lawyer Regulation
July 1, 2017 thru June 30, 2018

<u>Attorney</u>	<u>Admitted</u>	<u>Location</u>	<u>Decided</u>
<u>Court Public Reprimand</u>			
Clement, Sarah	9/27/00	Madison, WI	6/15/18
Fulkerson, Holly L.	6/18/01	Blue Mounds, WI	4/20/18
Heins, Janet L.	6/17/91	Mequon, WI	10/19/17
Luther, Tiffany T.	1/19/00	Sedona, AZ	11/28/17
Wagner, Barry S.	9/20/00	Scottsdale, AZ	4/17/18
<u>Consent Public Reprimand</u>			
Anderson, Michael J.	6/20/00	Lake Mills, WI	12/2/17
Aul, Thomas E.	5/26/69	Boulder Junction, WI	7/7/17
Harris, Benjamin J.	6/18/96	Milwaukee, WI	1/14/18
Loftin, Eugene	5/11/09	Racine, WI	6/11/18
Luening, Matthew T.	5/24/10	Milwaukee, WI	12/7/17
Medina, Frank	1/15/98	West Bend, WI	10/16/17
Petros, Christopher S.	6/18/09	Hudson, WI	10/27/17
Redfield, Jared C.	9/16/85	Stevens Point, WI	1/15/18
Rizzo, Bruno M.	5/22/72	Kenosha, WI	6/27/18
Runyon, James T.	6/2/78	Tomahawk, WI	7/20/17
Schellpfeffer, John M.	9/22/92	Merrill, WI	5/7/18
Schubert, Joseph E.	5/18/81	Milwaukee, WI	11/1/17

Reinstatements

During fiscal year 2017 – 2018, the Court completed action on 36 reinstatement petitions, 30 administrative and 6 disciplinary. Following is a summary of reinstatements.

SUMMARY OF REINSTATEMENTS
Office of Lawyer Regulation
July 1, 2017 thru June 30, 2018

Attorney	Location	Received	Decided	Outcome
<u>Administrative</u>				
Abellard, Gary	Trumbull, CT	12/27/17	6/6/18	Granted
Audley, David T.B.	Chicago, IL	1/10/17	11/7/17	Dismissed
Callahan, Patrick A.	Milwaukee, WI	1/18/18	6/19/18	Granted
Cates, Jackson S.	Nashville, TN	11/17/17	2/27/18	Granted
Ciotola, Davis A.	Madison, WI	8/18/17	1/3/18	Granted
Colburn, Jason D.	Chicago, IL	11/22/16	11/8/17	Dismissed
Collins, Grant	Minneapolis, MN	4/28/17	9/22/17	Granted
Craft, Jacob G.	McFarland, WI	7/24/17	1/10/18	Granted
Dale, Roberta L.	St. Paul, MN	8/21/17	3/29/18	Granted
Daniels, Keith B. Jr.	Sparta, NJ	11/16/17	4/20/18	Denied
Dehne, Eric B.	Newton, WI	8/28/17	4/24/18	Granted
DeLane, Teghan M.	New York, NY	3/23/17	7/5/17	Withdrawn
Dominski, Nancy A.	Saipan, MP	12/20/16	7/7/17	Granted
Frank, Linda M.	Milwaukee, WI	1/27/17	7/6/17	Granted
Holley, Nancy L.	Port Washington, WI	4/7/17	10/17/17	Granted
Kaupie, Joseph J.	Wausau, WI	10/10/17	5/18/18	Granted
Kessler, Elizabeth	Milwaukee, WI	7/25/17	12/7/17	Granted
Kissel, Sarah E.	Madison, WI	5/30/17	10/17/17	Granted
Knight, Phaidra S.	San Diego, CA	1/31/17	5/8/18	Dismissed
Kukuljan, Robert A.	Minneapolis, MN	12/6/17	4/27/18	Granted
LaZarr, Paul A.	La Mesa, CA	1/17/18	6/25/18	Granted
Pedersen, Allison M.	Green Bay, WI	2/28/17	7/12/17	Granted
Ranfranz, Corey	Sun Prairie, WI	9/1/17	1/11/18	Granted
Russell, Heather M. Downs	Racine, WI	8/21/17	11/17/17	Granted
Rutzen, Gregory J.	Madison, WI	5/19/17	10/16/17	Granted
Seale, Kenneth	Chicago, IL	9/21/17	1/17/18	Granted
Singer, Dena M.	Chicago, IL	11/21/17	4/19/18	Granted
Specht, Jonathan M.	Decorah, IA	11/1/17	6/26/18	Granted
Truesdale, Elizabeth A.	Green Bay, WI	3/17/17	7/5/17	Granted
Zick, Jeffrey A.	Silver Spring, MD	3/20/17	10/24/17	Granted

Disciplinary

Cooper, Patrick M.	Mequon, WI	3/30/17	3/30/18	Granted w/cnd
Mandelman, Michael D.	Mequon, WI	3/21/17	5/24/18	Denied
Moss, David	Wausaukee, WI	7/29/16	8/2/17	Granted w/cnd
Schoenecker, James M.	Elm Grove, WI	2/1/17	5/25/18	Denied
Stockman, Louis A.	Duluth, MN	8/22/17	2/16/18	Withdrawn
Voss, Richard W.	Rhineland, WI	3/11/16	3/1/18	Denied

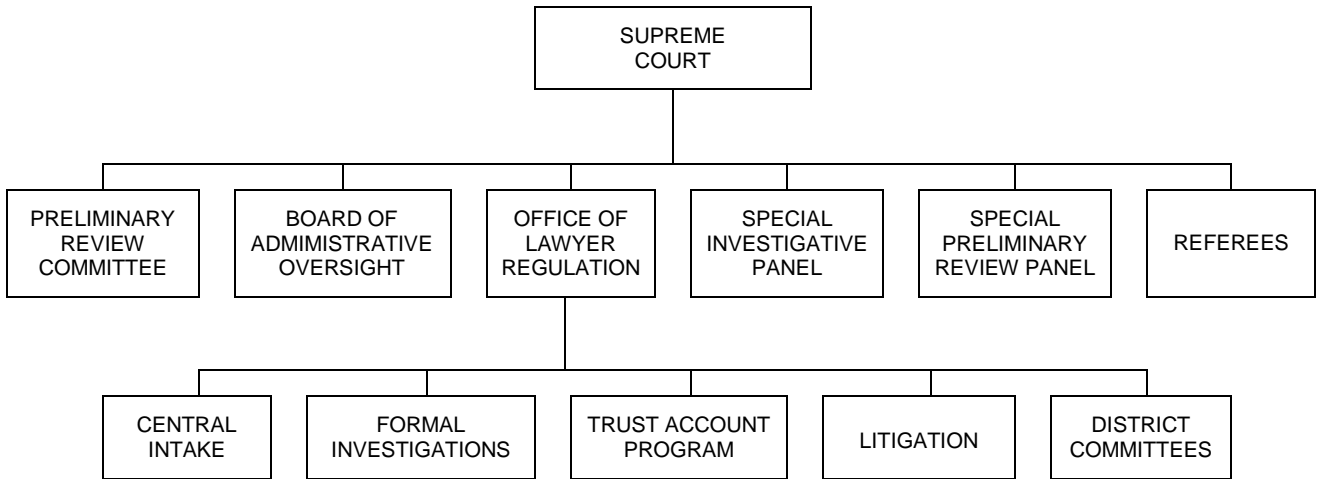
Finances

The legal profession is unique in assuming the costs for policing itself. An assessment on every member of the State Bar of Wisconsin pays the costs and expenses of the lawyer regulation system, including all the costs and expenses of the Office of Lawyer Regulation, District Committees, Preliminary Review Committee, and Board of Administrative Oversight. To help offset the costs, the Office of Lawyer Regulation collects costs from attorneys disciplined in formal court proceedings, and fees on petitions for reinstatement and pro hac vice applications. Collections for fiscal year 2017-2018 were approximately \$190,500.

The budget for fiscal year 2018-2019 is \$3,443,300 down from \$3,555,000 last year. The assessment remains \$155.00. The assessment is in line with disciplinary assessments for neighboring jurisdictions: \$230.00 for Illinois, \$175.00 for Iowa, \$90.00 for Michigan, and \$122.00 for Minnesota. The assessment for Colorado, which has a similar program and lawyer population, was most recently reported as \$185.00.

APPENDIX 1

***ORGANIZATIONAL CHART OF THE
LAWYER REGULATION SYSTEM***



APPENDIX 2

COMPOSITION OF THE LAWYER REGULATION SYSTEM

WISCONSIN SUPREME COURT

Chief Justice Patience D. Roggensack
Justice Shirley S. Abrahamson
Justice Ann Walsh Bradley
Justice Annette Kingsland Ziegler
Justice Michael J. Gableman
Justice Rebecca G. Bradley
Justice Daniel Kelly

OFFICE OF LAWYER REGULATION

Keith L. Sellen, Director

William Weigel, Litigation Counsel
Jonathan E. Hendrix, Assistant Litigation Counsel
John Payette, Assistant Litigation Counsel
Kim Kluck, Assistant Litigation Counsel
Thomas J. Laitsch, Assistant Litigation Counsel

John K. O'Connell, Deputy Director-Investigations
Julie M. Spoke, Deputy Director-Intake
Travis Stieren, Trust Account Program Administrator
Heidi T. Johnson, Office Manager

Investigative Staff:

Kori Anderson
Anne Blood
Kenneth E. Broderick
Lorry Eldien
Kathryn Galarowicz
Cathe Hahn
Emily Kokie
Beth M. Kugler
Sarah Peterson
Melody Rader-Johnson
Cynthia Schally
Michael Shull
Karl Wyler
Jonathan Zeisser

Support Staff:

Janet Byrne
Melissa Chicker
Mary McMillan
Annette Smith
Susan Stock
Ellen Teed
Deborah White

RETAINED COUNSEL

Susan Allen	Milwaukee
Matthew F. Anich	Ashland
Wayne A. Arnold	Rice Lake
William F. Bedker, Jr.	Watertown
Gregg M. Herman	Milwaukee
Robert G. Krohn	Janesville
Ronald P. LeFever	Brookfield
Richard Mozinski	Manitowoc
Matthew J. Price	Milwaukee
James C. Reiher	Waukesha
Paul W. Schwarzenbart	Madison
Gregory P. Seibold	Florence
Brenda Sunby	Merrill
Denis R. Vogel	Madison

DISTRICT COMMITTEE MEMBERS

*Public Members

District No. 1 (Jefferson, Kenosha, Walworth Counties)

(Chairperson) **

*Charles F. Taylor

Christine Tomas

Heather Iverson

*Charles P. Frandson

Brian A. Schuk

Martin Harrison

Whitewater
Lake Geneva
Kenosha
Fort Atkinson
Delavan
Whitewater

District No. 2 (Milwaukee County)

(Chairperson) Christopher J. MacGillis

Thomas A. Merkle

*Frank Valentine Bialek

*Richard Ippolito

*Gary Nosacek

Monte Weiss

Wauwatosa
Milwaukee
West Allis
Milwaukee
Milwaukee
Mequon

Sarah Fry Bruch	Milwaukee
*J. Dain Maddox	Wauwatosa
Joseph Welcenbach	Milwaukee
James Moczydlowski	South Milwaukee
Cedric Cornwall	Wauwatosa
Jacques C. Condon	Thiensville
Thomas Whipp	Shorewood
*Ron Blazel	Milwaukee
*Keith J. Roberts	Greendale
Robert E. Nailen	Milwaukee
David B. Karp	Milwaukee
Krysta Kennedy	Wauwatosa
Gary D. Lippow	Milwaukee
Joseph W. Seifert	Milwaukee
Paul Bargren	Milwaukee
*Kristina Ehnert	Oak Creek
*Bruce Harvey	Milwaukee
Richard Casper	Milwaukee
Roy E. Wagner	Milwaukee
*Barbara J. Miller	Milwaukee
Daniel Treuden	Oak Creek
Donal M. Demet	Milwaukee
David N. Iancu	Milwaukee
*Deedee Peterson	Milwaukee
Aaron Graff	Milwaukee
Geoffrey R. Morgan	Milwaukee
*Gregory Sustache	Cudahy
Eric D. Defort	Milwaukee
Bradley S. Foley	Milwaukee
Jordana E. Thomadsen	Milwaukee
Robert E. Driscoll	Wauwatosa
Nathan Imfeld	Milwaukee

District No. 3 (Winnebago, Fond du Lac, Green Lake Counties)

(Chairperson) Elizabeth J. Nevitt	Neenah
*Mary Jo Keating	Fond du Lac
Katherine Seifert	Menasha
*Paul M. Baker	Winneconne
Emily E. Parks	Fond du Lac
*David H. Romond	Oshkosh
William Slate	Markesan
*Frances Garb	Oshkosh
Sara Micheletti	Appleton
David J. Schultz	

District No. 4 (Door, Kewaunee, Manitowoc, Calumet, Sheboygan Counties)

(Chairperson) Barry S. Cohen	Elkhart Lake
*James Stecker	New Holstein
*Suzanne J. Wegner	Plymouth
David Van de Water	Sheboygan
Catherine Q. Delahunt	Kohler
Andrew H. Morgan	Sheboygan
*Victoria Cerinich	Sturgeon Bay, WI
Kathleen McDaniel	Manitowoc

District No. 5 (Crawford, LaCrosse, Richland, Vernon, Monroe, Trempealeau, Jackson, Clark, Buffalo, Pepin Counties)

(Chairperson) Kara M. Burgos	La Crosse
*James W. Geissner	La Crosse
Daniel C. Arndt	Sparta
David Russell	La Crosse
*David Campbell	La Crosse
Bernardo Cueto	La Crosse
Mark Franklin	Independence
Daniel S. Diehn	Black River Falls
Christopher M. Zachar	La Crosse
Michael C. Ablan	La Crosse

District No. 6 (Waukesha County)

(Chairperson) Ed Bremberger	Menomonee Falls
Margaret G. Zickuhr	Grafton
Paul E. Schwemer	Delafield
*Gregory J. Ksicinski	Waukesha
Stephen C. Raymonds	Menomonee Falls
*Telemachos Agoudemos	Big Bend
Raymond Schrank	Muskego
Rod Rogahn	Waukesha
Ronald A. Troy	Brookfield
Matthew Fernholz	Brookfield
*Colleen Merrill Brown	Brookfield

District No. 7 (Wood, Portage, Waupaca, Waushara, Adams, Juneau, Marquette, Sauk, Columbia Counties)

(Chairperson) Leo L. Grill	Baraboo
Stephen D. Chiquoine	Reedsburg
*Alan K. Peterson	Mauston
*Susan G. Martin	Portage
Erik C. Johnson	Montello
*Deborah Berndt	Clintonville
Richard Fuller	Stevens Point
Brenda Yaskal	Baraboo

District No. 8 (Dunn, Eau Claire, Pierce, St. Croix Counties)

(Chairperson) Jenessa L. Stromberger

Gregory S. Nicastrò

*Theresa Johnson

Mark N. Mathias

*Kristen Ainsworth

*Brad Nemec

*Linda M. Jorgenson

Brian F. Laule

David Richie

*Yvonne Larsen

*Gerald Ries

Kristina Bourget

Eau Claire

Altoona

Hudson

Eau Claire

River Falls

Somerset

River Falls

River Falls

Eau Claire

Hudson

Hudson

Eau Claire

District No. 9 (Dane County)

(Chairperson) Jennifer S. Lattis

*Norman Jensen

Megan A. Senatori

Janice K. Wexler

*John Zerbe

Michele Perreault

Briane F. Pagel Jr.

*Barbara Mortensen

*Lynn M. Leazer

Jon Callaway

David S. Kowalski

Timothy Edwards

Jason J. Knutson

Roger Flores

Betty Eberle

*Christopher D. Washburn

Harvey Wendel

*Bruce Hutler

*Michael Kindschi

*Majid Sarmadi

*Kayla Johnson

Bennett Conard

Anthony Varda

Andrew C. Cook

Karl Dahlen

Gordon Davenport III

Jason Hanson

Cathy Lake

Kate Lloyd

Madison

Madison

Madison

Middleton

Madison

Madison

Madison

Madison

Verona

Madison

Madison

Madison

Madison

Madison

Madison

Blue Mounds

Madison

Madison

Madison

Madison

Madison

Madison

Madison

Madison

Fitchburg

Fitchburg

Madison

Madison

Madison

S. Michael Murphy
Janelle Ramsel
John S. Skilton

Oregon
Madison
Madison

District No. 10 (Marinette, Menominee, Oconto, Outagamie, Shawano Counties)

(Chairperson) *Guy Gooding

Laura C. Smythe

*Connie M. Seefeldt

*Terry Hilgenberg

Hon. Leonard Kachinsky

Hon. Catherine C. Stichmann

Charles M. Stertz

Brad A. Markvart

William McKinley

Aaron M. Krzewinski

Kyle Sargent

Bradley J. Schraven

*James Cotter

Sobieski
Appleton
Coleman
Shawano
Neenah
Crivitz
Appleton
Appleton
Appleton
Oconto
Appleton
Peshtigo
Appleton

District No. 11 (Douglas, Bayfield, Iron, Ashland, Sawyer, Washburn, Burnett, Price, Polk, Barron, Rusk, Taylor, Chippewa Counties)

(Chairperson) Amanda L. Wieckowic

*Elizabeth Esser

Deborah Asher

*Ms. Erny Heiden

Annette M. Barna

John R. Carlson

*John Bennett

Parrish J. Jones

Timothy T. Sempf

Chetek
Spooner
Chippewa Falls
Conrath
Barron
Washburn
Washburn
Superior
Amery

District No. 12 (Green, Rock, Lafayette, Iowa, Grant Counties)

(Chairperson) Dan D. Gartzke

Melissa Brooke Joos

Carolyn L. Smith

*William Hustad

James D. Wickhem

*Sheriff Robert D. Spooden

*Larry Wolf

*Lori R. Bienema

Kelly Mattingly

Peter Herman

Jane E. Bucher

Jody L. Cooper

Faun M. Phillipson

Teresa M. Arrowood

Madison
Beloit
Dodgeville
Monticello
Janesville
Janesville
Lancaster
Janesville
Janesville
Madison
Monroe
Janesville
New Glarus
Janesville

District No. 13 (Dodge, Ozaukee, Washington Counties)

(Chairperson) Christine Eisenmann Knudtson

Michael P. Herbrand

John A. Best

*Mr. Robert Blazich

*Ramona Larson

Annamarie A. Wineke

*Claire Fowler

Julie A. Maule

Mark Langholz

West Bend

Grafton

West Bend

Thiensville

Mequon

Watertown

Hubertus

West Bend

Cedarburg

District No. 14 (Brown County)

(Chairperson) Edward J. Vopal

Ann C. Weiss

*Joseph Neidenbach

Robert Gagan

*Jim Marshall

Jodi Arnt Labs

Melissa Thiel Collar

Robert Janssen

*Wendy Scattergood

*Patrick J. Buckley

*David A. Steffens

Green Bay

Green Bay

Green Bay

Green Bay

Green Bay

Green Bay

Green Bay

De Pere

Green Bay

Green Bay

Seymour

District No. 15 (Racine County)

(Chairperson) Kristin Cafferty

Patricia J. Hanson

Timothy J. Pruitt

Robert K. Weber

*Frank Konieska

Lincoln K. Murphy

Jill A. Rakauski

Racine

Racine

Racine

Racine

Burlington

Racine

Racine

District No. 16 (Forest, Florence, Langlade, Lincoln, Marathon, Oneida, Vilas Counties)

(Chairperson) Peter M. Young

Laura K. Fitzsimmons

Lisa Brouillette

*Monty Raskin

James P. Lonsdorf

Daniel R. Peters

Brandon O'Connor

W. Craig Olafsson

Robert A. Pirkola

Wausau

Ringle

Florence

Wausau

Schofield

Wausau

Wausau

Wausau

Iron Mountain

PRELIMINARY REVIEW COMMITTEE

Attorney Nora M. Platt, Chairperson
Milwaukee, WI

Nora Platt is an Assistant General Counsel and Assistant Secretary in the Law Department of The Northwestern Mutual Life Insurance Company. Her legal work focuses on compliance with the laws and regulations governing sales practices in the securities and insurance industries, as well as issues related to elder financial exploitation, privacy, unfair competition, employment law, and general business law matters as they impact Northwestern Mutual's field force. She's Chair of the Law Department Diversity and Inclusion Committee. She was previously a partner in the Litigation and Product Liability practice groups at Quarles & Brady, LLP. Nora earned her J.D. from Marquette University Law School in 1992, and her B.S. in English/Secondary Education from the University of Wisconsin – Madison in 1986. She taught high school before attending law school. Nora is a member of the Board of Trustees of the Legal Aid Society of Milwaukee. She helped establish a pro bono program for the Northwestern Mutual Law Department. She lives in Whitefish Bay with her husband, Rob. They have two daughters.

Attorney Robert J. Asti, Vice Chairperson
Cedarburg, WI

After practicing in Milwaukee, Attorney Robert J. Asti joined the Cedarburg Wisconsin law firm of Levy & Levy S.C. in 1983, where he focuses primarily on litigation. He has served as a member of the State Bar of Wisconsin's Professionalism Committee (2011 to 2013), as a member for two terms, of the State Bar of Wisconsin's Board of Governors (2005 to 2007 and 2007 to 2009), and as a member of the State Bar of Wisconsin's Board of Bar Examiners Review Committee (1997 to 2011) and past Chair (2004 to 2011). He has also served as a member of the State Bar of Wisconsin's Alternative Dispute Resolution Section Board of Directors (1996 to 2003) and as a past Secretary. He is admitted to practice before all Wisconsin State Courts, the Federal Courts for the Eastern and Western Districts of Wisconsin, the 7th Circuit U.S. Court of Appeals, and the U.S. Supreme Court. Attorney Asti is a member of the State Bar of Wisconsin and the Ozaukee County Bar Association (past president). Attorney Asti received a B.B.A. from the University of Wisconsin-Milwaukee, and a M.B.A. and a J.D. from Marquette University.

Mr. Dennis Blasius
Waukesha, WI

After 30 years with the federal government, including many years leading the U.S. Consumer Product Safety Commission's Office of Compliance Field Investigations Division, Dennis has recently started a consultancy working with manufacturers, importers and company leaders developing frameworks that support compliance and product safety. He has also joined forces with ADK Information Services to facilitate product safety management courses at St. Louis University. In addition, Dennis is an ICF-certified executive/ workplace coach.

At CPSC, Dennis was responsible for working with the CPSC's Compliance, Epidemiology and Legal staffs to coordinate civil and criminal product safety investigations nationwide. As a

recognized consumer product safety compliance subject matter expert, Dennis is a frequent trainer and speaker throughout the U.S. and internationally, addressing a wide variety of consumer product safety compliance and enforcement topics. Before moving to the federal government, Dennis was a Detective with the Waukesha County Sheriff's Department. Dennis holds a degree in Criminal Justice and a master's degree in Managerial Communication. He also earned a Certificate in Executive Leadership from Cornell University. He served a three-year term as a commissioner on the City of Pewaukee Police and Fire Commission (2008-2010) and served on the committee that developed the city's current ethics policies for employees and elected officials. Dennis is a previous volunteer with the Office of Lawyer Regulation, serving as a member of the District Six Investigative Committee from 2003-2005.

Commissioner Barry J. Boline
Port Washington, WI

Barry J. Boline has served as the Circuit Court Commissioner for Ozaukee County since his appointment in 2012. His responsibilities are varied and include presiding over pre- and post-judgment family matters, state and county traffic, small claims court, probate and guardianships, and some domestic violence and criminal matters. He also serves as the Director of Family Court Services for Ozaukee County. Since having become a commissioner, Barry has served as President of both the Wisconsin Family Court Commissioners Association and the Judicial Court Commissioners Association of Wisconsin, and is a frequent lecturer on family law issues throughout the state of Wisconsin. Barry earned his J.D. from Drake University Law School in 1994, and his B.A. in Philosophy from Valparaiso University in 1991. After passing the Iowa Bar Examination in 1994, Barry practiced law in Iowa until 1999, when he and his family relocated to Wisconsin. Barry is an active member of the Lions Club, and served as the Chairperson of the governing council of the Lions of Wisconsin. He and his wife, Lisa, have two teenaged children.

Mr. Michael L. "Gunner" Furgal
Monroe, WI

Chief Warrant Officer – 3 Michael L Furgal enlisted in the US Marine Corps in 1959 and retired in 1981. He served in the 1st, 2nd and 3rd Marine Divisions; 3rd Marine Aircraft Wing; Recruiting Station, Louisville KY; Development Center, Quantico, VA; and the Defense Language Institute, Monterey, CA. He served in Vietnam as a member of the 9th Interrogator-Translator Team.

He joined the Veterans of Foreign Wars in 1969 at Post 9732 in Okinawa, Japan. He maintains his Gold Legacy Life Membership at Post 2312 in Monroe, WI. Mr. Furgal has served the VFW in many leadership positions, including Wisconsin State Commander in 1998-1999. He has also served on numerous National Committees including National Veterans Service and National Veterans Employment Committees. He is currently Chairman of the State Legislative Committee.

Mr. Furgal holds an Associate degree in Political Science from Palomar College, a Bachelor degree in Social Science from Chapman University, and a Masters degree in Education from Pepperdine University. He worked as a Disabled Veterans Outreach Program Specialist with

Wisconsin's Job Service and as Adjutant (CEO)/ Quartermaster (CFO) of the VFW of Wisconsin and is now retired.

Mr. Furgal has been a member of the Green County Board of Supervisors for the past 29 years and currently serves on the Human Service Board; Library Board; Resolutions, Legislative and Judicial Committee; Aging and Disability Advisory Committee; and as Chair of the Veterans Services Committee.

He is a member of the South Central Library System Board of Trustees; South Central Library System Foundation Board; Southwestern Wisconsin Community Action Program Board of Director; and Wisconsin Counties Mutual Insurance Company Board of Directors.

Mr. Furgal is the Veterans of Foreign Wars representative on the Council of Veterans Programs of the Wisconsin Department of Veterans Affairs

He and his wife, Marian, have three children and five grandchildren; they reside in Monroe, WI.

**Attorney Lance Grady
Waukesha, WI**

Lance S. Grady is one of the founding members of Grady, Hayes & Neary, LLC, a Waukesha law firm specializing in civil trial litigation. He obtained his Juris Doctorate from Marquette University Law School in 1985. Annually, since 2014, he has been nominated by his peers to the Wisconsin Super Lawyers list in the area of insurance coverage. In 2004 he was the recipient of the *Wisconsin Law Journal's* Leaders in the Law Award. His experience with the Office of Lawyer Regulation dates back to 2001 when he was appointed to serve as a referee. In 2004, he was then appointed to serve as an investigator on District Committee 6. In 2009 he was appointed to chair the committee. In 2014 he was then appointed to the Preliminary Review Committee. In December 2017, after 25 years of community service, he retired as a lieutenant and Emergency Medical Technician-Intermediate 99 from the Town of Delafield Fire Department. During his tenure he was in charge of the Town of Delafield Fire Department Search and Rescue Dive Team which he founded in 1996. In his capacity as a firefighter for the Town, he was awarded the "Humanitarian of the Year" award by the Wisconsin Federated Humane Societies, Inc. and the "Firefighter of the Year" award. He resides with his wife, Kalaniwai, in Delafield and together they have two children, both of whom are Medical Doctors.

**Attorney Olivia Kelley
Brookfield, WI**

Olivia Kelley is an attorney in the Milwaukee office of DeWitt Ross & Stevens S.C., although she frequently practices from DeWitt's Madison and Minneapolis offices as well. Olivia graduated from Marquette University (B.A. Philosophy and Political Science) in 2000 and graduated *cum laude* from Marquette University Law School in 2009. She practices primarily in the area of civil litigation, with an emphasis on assisting clients in the preservation, production and acquisition of electronic information. Olivia is active in her community, serving as a past president and current member of the Rotary Club of New Berlin and a Girl Scout leader. Olivia

and her college sweetheart, Jason, have been married for 13 years, and are raising their two daughters to be future lawyers.

**Mr. William Kramer
Madison, WI**

Will Kramer is currently a law student at the University of Wisconsin Law School, and clerks at Kasieta Legal Group, LLC. Will started his career as an investigator on a United States Senate oversight committee, where he participated in investigations into corporate and governmental wrongdoing. He spent the next several years working for insurance companies and brokers as a safety and risk management consultant to businesses across the country, striving to improve workplace safety and reduce injuries to the public from within industry. In 2015, he learned that one of his clients—a multinational corporation—was systematically violating state and federal environmental laws and regulations pertaining to hazardous waste, putting workers and communities at risk. As Will worked with the federal government and investigative journalists to expose and address those issues, he decided to put his passion for the public interest to good use by becoming an attorney. He later was recognized as the Whistleblower of the Year by the Wisconsin Freedom of Information Council. At the University of Wisconsin Law School, Will worked on behalf of people in need at the Unemployment Appeals Clinic and Neighborhood Law Clinic. He is proud to have served as co-president of the Law School Wellness Coalition, a group devoted to helping law students and lawyers address the devastating toll of mental illness and substance abuse in the legal profession. Will plans to practice in the area of plaintiff-side civil litigation with a focus on personal injury, civil rights, employment discrimination, and whistleblower law.

**Dr. Consuelo López Springfield
Madison, WI**

Consuelo, who has a Ph.D. in Communications (Indiana University), specialized in Women & Gender Studies, Caribbean, U.S. Latin@ and Critical Race Studies before retiring in 2015 as an L&S Assistant Dean and Senior Lecturer at the University of Wisconsin-Madison. Previously, at IU Law, she interned with the state AG in Criminal Appeals. At UW-Madison, she was honored with a Norman Bassett Award for Outstanding Achievement in Student Services. In addition, Consuelo was a CIC Academic Leadership Program Fellow; and for 9 years, served as a panelist for the National Research Council and National Academies, reviewing Ford Foundation Fellowships. She served on OLR's District 9 from 2006-2015 and on the Working Group on "Alternatives to Incarceration" of the Public Protection and Judiciary Committee of Dane County's Board of Supervisors in 2015. She serves on the Overture Center's Community Advisory Board, the city of Madison's Committee on Human Services, the Wisconsin League of Women Voters as a Director and Chair of the Community Alliances Committee, Tempo of Madison, Attic Angels, St. Vincent DePaul's food pantry, and Olbrich Gardens.

Ms. Christine Procknow
Portage, WI

1990 North Central Technical College, Associate Degree, Wausau. 2002 UW-Oshkosh, Bachelor of Social Worker, Oshkosh. Currently social worker on the Vocational Core Complex at Columbia Correctional Institution, Portage. Member of the WCA Wisconsin Correctional Association. State Bar of Wisconsin Board of Governors, public nonlawyer member, appointed by the Wisconsin Supreme Court 7/1/2014-6/30/2018. Appointed 2016-2019 City of Portage Election Board (nonpartisan). Appointed 2017-2020 as public nonlawyer member of the Preliminary Review Committee by the Wisconsin Supreme Court. State Bar of Wisconsin, public nonlawyer member appointment 2018 Wisconsin Lawyers' Fund for Client Protection Committee. Former St. Mary's Catholic School Education Commission, Portage, term 2012-2017. Current CCI work site point of contact for Sesame Street: Little Children, Big Challenges: Incarceration, outreach initiative. Training vendor for DOC (St. Vincent curriculum model) poverty simulation experience, role play legal assistance worker. Volunteer for State Bar of Wisconsin Mock Trial, Juneau Region.

Attorney Dustin T. Woehl
Milwaukee

Dustin Woehl is a shareholder at Kasdorf, Lewis & Swietlik, S.C. in Milwaukee. He grew up in south Florida, graduated from Carleton College in 1997 with a B.A. in Philosophy, and graduated in 2000 from the University of Pennsylvania School of Law. He practices in state and federal court, primarily in the areas of civil appeals and constitutional law. Dustin also volunteers with Milwaukee's Volunteer Lawyers Project and as a judge in Wisconsin's mock trial program.

BOARD OF ADMINISTRATIVE OVERSIGHT

Attorney Gary J. Van Domelen, Chairperson LaCrescent, MN

Gary is a De Pere native and graduate of St. Norbert College and Marquette University Law School. While at Marquette, he served as Managing Editor of the Law Review. Gary began his legal career as a litigator for Kasdorf, Lewis & Swietlik, S.C. A Milwaukee based insurance and product liability and insurance defense firm. In 1986, he joined S.C. Johnson & Son, Inc. to manage the company's worldwide product liability litigation. During the ten years he spent at Johnson Gary also drafted and negotiated a variety of agreements, assisted with regulatory matters and investigations and was the lead lawyer for the worldwide air care business. In 1998, he accepted the position of Vice President & General Counsel of Fisher Scientific Company, L.L.C. in Pittsburgh, PA. While at Fisher Gary also worked in a number of acquisitions and co-managed the quality assurance function. In 2001, Gary and his family moved back to LaCrosse, Wisconsin where he accepted a position as Chief Legal Counsel, the Trane Company, and a division of American Standard Companies, Inc. In 2003, Gary accepted an invitation from American Standard to move to New Jersey and serve as Vice President, Chief Corporate Counsel and assistant secretary. In that capacity, Gary managed the legal-SEC reporting issues, M&A and the law department budget. In 2005, Gary joined Wagner, Falconer & Judd, a Minneapolis firm. Gary was named a partner in 2010 and led the firm's marketing activities and business practice group. In May 2014, he opened his own corporate and business practice. Gary is an Adjunct Faculty member in the MBA program at the Dahl School of Business, Viterbo University. He lives in LaCrescent, MN with his wife Karmin.

Attorney Joseph M. Russell, Vice Chairperson Milwaukee, WI

Joe Russell is a shareholder in the Litigation and Risk Management Practice Group of von Briesen & Roper. A native of Milwaukee, he graduated from Georgetown University (B.A.) in 1993 and received his J.D. from the Northwestern University School of Law in 2003. In addition to his civil and constitutional litigation practice, Joe represents corporations, management, governmental entities, and individuals in connection with government investigations (both federal and state), enforcement actions and internal investigations. Joe also acts as his firm's ethics counsel and has served on the State Bar's Ethics Committee since 2013.

Dr. Daniel C. Bruch Hudson, WI

Daniel C. Bruch is a retired pastor, professor, editor, and consultant. He has earned six degrees (including a D.Min., Ph.D. {UW-Madison}, & Sc.D.) and has served as a college, university, and seminary professor, both in the USA and internationally. He has been a police, emergency room, and university chaplain and is a certified and experienced mediator and arbitrator. He has lived and taught in Hungary, Indonesia, and Chile, and has lectured in Romania, Serbia, the

Czech Republic, and Slovakia. His last academic position was with the Yale University-based Civic Education Project and his last pastoral position was at a two-campus parish of 2200 members in Hudson, Wisconsin. He is the founder and an owner of The Purple Tree (www.thepurpletree.org) in Hudson.

Dr. Charles A. Bunge
Madison, WI

Charles Bunge is Professor Emeritus, University of Wisconsin-Madison Information School. He has a Bachelor of Arts from the University of Missouri and Master's and Ph.D. degrees from the University of Illinois. Before his retirement, he practiced, taught, researched, and published in the field of library reference services for some 40 years. He held leadership positions in professional associations in his field, and he has been honored at the local, state, and national levels for his contributions to the library profession. Personal interests include woodworking, travel with his wife, Joanne, and involvement in the lives of their three children, eight grandchildren, and three great-grandchildren. Volunteer and community service activities include Agrace hospice care, Habitat for Humanity ReStores, and the Wisconsin lawyer regulation system.

Attorney Donald J. Christl
Milwaukee, WI

Donald J. Christl is of counsel to Reinhart Boerner Van Deuren SC in Milwaukee. He received his B.A. from St. Mary's University in Winona Minnesota and his J.D. from University of Chicago Law School. He has served in numerous professional leadership positions in his firm and throughout the community and state, including the Board of Directors of his firm; President of the Milwaukee County Bar Association, Milwaukee Bar Association Foundation, Business Planning Institute and Wisconsin Employee Benefits Association; Chairman of the State Bar Committee on Resolution of Fee Disputes and Supreme Court Committee on Professional Fees; and member of these and many other professional organizations. He has lectured nationally and internationally, edited the Journal of Pension Benefits, and co-authored Professional Corporations and the Alternatives. His other public service includes positions as president or other officer in local community organizations including the Boy Scouts and other charitable organizations.

Attorney Margadette Demet
Milwaukee, WI

Margadette Moffatt Demet is a partner in the firm of Demet & Demet, LLC., Milwaukee, Wisconsin. She received a B.A. from College of St. Francis, (now University of St. Francis), Joliet, Illinois, and an LL.B. from Marquette University Law School. She concentrates her practice in estate planning, probate, guardianship, family law, and collaborative practice and is a certified mediator. She provides volunteer mediation services to Milwaukee Children's Court and has previously provided mediation services to the Milwaukee County Circuit Court Family Division. Atty Demet is a Fellow of the American Bar Association and of the Wisconsin Law Foundation. She has served on the Board of Governors, and as an officer of the State Bar of Wisconsin, and has served many committees of the Bar. She is a member of the Seventh Circuit

Bar Association, the Milwaukee Bar Association, Milwaukee Estate Planning Forum, the Association of Women Lawyers, the International Academy of Collaborative Professionals, and the Collaborative Family Law Council of Wisconsin. Over the years she has served on numerous nonprofit and civic boards. She is a past member of the City of Milwaukee Ethics Board. She is a past board member of the Milwaukee Bar and its Foundation, the Advisory Board of the Sisters of Divine Savior, Sacred Heart Seminary and Clement Manor. Currently she continues as a member of the Board of Columbia St. Mary's Foundation and an Advisory Board Member of Friends of Villa Terrace. She received a Distinguished Service Award from the Fellows of the American Bar Association, in November of 2012, and a Life Time Achievement Award from the Alumni Association of Marquette University Law School, in April, 2013. She is the widow of Attorney Francis J. Demet who died in 1993, mother of eight children, grandmother of twenty-five, and great grandmother of a granddaughter born in February of 2015.

**Honorable Charles P. Dykman
Monona, WI**

Charles P. Dykman was elected to the Wisconsin Court of Appeals, District IV in 1978 and was presiding judge from 1996 to 2001 and again in 2009. He was successful in three contested elections; 1978, 1980 and 1992. Judge Dykman received a Bachelor's of Science degree in 1961 from the University of Wisconsin Madison and a LLB. in 1965 from the University of Wisconsin Law School. From 1965 to 1970, Judge Dykman was an associate with the firm Oldenburg and Lent. He was a sole practitioner from 1970-1978.

Judge Dykman has served on various judicial educational panels and at Wisconsin Judicial Conferences and has been a speaker for continuing legal education programs. He was a member of the Judicial Conference Planning Committee (2002-03), Judicial Conference Executive Committee (2001-04), the Civil Law Seminar Planning Committee (2006), and has served on the Fairchild Commission on Judicial Elections and Ethics. Judge Dykman was appointed to the Judicial Commission August 1, 1998 through August 2004 and elected chair in August 2003. He was appointed to the Government Accountability Board Candidate Committee in March of 2009 for a two-year term.

He was appointed to the Board of Bar Examiners in 2009 and the Board of Administrative Oversight in 2012. He is currently a member of the State Bar of Wisconsin, Dane County Bar Association, James E. Doyle Inns of Court, Legal Association for Women, and the Seventh Circuit Bar Association.

Since the fall of 2010, Judge Dykman has taught a class in negligence methodology at the University of Wisconsin Law School. In early 2011 he was honored as a Leader in the Law by the Wisconsin Law Journal and was given Lifetime Achievement recognition.

Judge Dykman is married to Bonnie who is a speech and language therapist with the Madison public schools.

He enjoys sailing, gardening and teaching seed-starting and canning classes.

**Attorney Timothy F. Nixon
Green Bay WI**

Timothy F. Nixon, a shareholder with the law firm of Godfrey & Kahn, S.C., is a commercial lawyer representing clients in state and federal courts. He is the firm's lead attorney in business finance and restructuring. His name appears on numerous "best lawyers" lists. He is a frequent lecturer, presenter, and writer on matters involving Bankruptcy Law. Tim earned his master's degree in public policy and administration and his law degree from the University of Wisconsin Madison. Prior to graduate school, Tim served as a ship's officer in the Merchant Marine for nine years. In addition, he has taught for seventeen years as an associate faculty member at his alma matre, the University of Wisconsin Green Bay. Tim has received a number of awards in recognition of his community service including the "Leaders in the Law" award from the Wisconsin Law Journal, "The Judge John W. Reynolds Community Building Award" from the Eastern District of Wisconsin Bar Association, and the "Distinguished Alumni Award" from the UW-Green Bay Alumni Association. He is a fellow of the American College of Bankruptcy. Previously he served as a member of the Preliminary Review Committee (2009-2015), and as its Vice-Chair (2014-2015).

**Mr. Lawrence J. Quam
Superior, WI**

Larry is a lifetime resident of Superior, WI. He has a bachelor's degree in political science from the University of Wisconsin – Superior, with minors in Psychology, Sociology and History. He retired from Douglas County Sheriff's Department as Administrative Sergeant after serving for 36 years.

He has served on the Wisconsin Supreme Court's Special Preliminary Review Committee for six years. In the past 17 years, Larry has served in many capacities for the Douglas County Board including, Chairperson for the Health & Human Services, an Executive and Administrative member and 2nd Vice Chairman of the County Board of Supervisors.

Larry is a long time volunteer and community member for many local organizations such as the Shriner's, and the Cub Scouts. He has raised money for the American Cancer Society, March of Dimes and the American Heart Association. He is active in the United Commercial Traveler's of America (UCT), presently serving at the Officer level locally, nationally and internationally, and has held the position of President of the International Secretary/Treasurer's Association and Imperial Ruler of Bagman for UCT.

He is an active member of Concordia Lutheran Church serving his community through active involvement on Church committees such as the Benevolent Committee and the Cares Committee.

Larry has been married to his loving wife Yvonne for 60 years. He has 5 children, 14 grandchildren and 4 great grandchildren. He enjoys spending time with family, hunting, fishing and attending sporting events.

Attorney Michael S. Weiden
Madison, WI

Michael Weiden is a retired attorney. He practiced health and administrative law as a partner with the law firm of Quarles & Brady in Madison, Wisconsin. He has also served as Interim General Counsel to the University of Wisconsin and has provided consulting services to various health related organizations.

SPECIAL INVESTIGATIVE PANEL

Attorney Terence J. Bouressa	De Pere
Attorney Nathaniel Cade Jr.	Milwaukee
Attorney Steven M. Cain	Cedarburg
Attorney Timothy S. Crisp	Madison
Attorney Matthew Cromheecke	Onalaska
Attorney Sam Filippo	Saxon
Attorney Mark J. Goldstein	Milwaukee
Attorney William A. Grunewald	Medford
Attorney Anthony P. Hahn	Racine
Attorney Alexander Hall	Green Bay
Attorney Victor C. Harding	Milwaukee
Attorney Thomas W. Harnisch	Neilsville
Attorney Jay E. Heit	Eau Claire
Attorney Nicole L. Kreklau	Minneapolis, MN
Attorney Angela Kujak	Baraboo
Attorney Myron E. LaRowe	Reedsburg
Attorney Michael Laufenberg	West Bend
Attorney John R. McDonald	La Crosse
Attorney Neil C. McGinn	Germantown
Attorney Maxfield E. Neuhaus	River Falls
Attorney William Retert	Fond du Lac
Attorney Lauri Roman	Madison
Attorney Ronald S. Stadler	Milwaukee
Attorney Richard J. Summerfield	Ladysmith
Attorney Sarah Troupis Ferguson	Jefferson
Attorney Terri L. Weber	Chilton
Attorney Timothy R. Young	Oshkosh
Attorney Michael D. Zell	Stevens Point

SPECIAL PRELIMINARY REVIEW PANEL

*Public Members

(Chairperson) Bruce F. Ehlke	Madison
*Sherie Sasso	Madison
Bruce A. Schultz	Madison
*Jane Snilsberg	Iron River
Dennis M. Sullivan	Madison
Shobhan Thakkar	Monona

SUPREME COURT REFEREES

Allan Beatty	La Crosse
James C. Boll	Madison
William Eich	Madison
Judge James R. Erickson	Balsam Lake
Richard M. Esenberg	Milwaukee
Dennis J. Flynn	Racine
Robert E. Kinney	Rhineland
James W. Mohr	Hartford
James B. Murphy	Waunakee
Kim M. Peterson	Oconomowoc
John N. Schweitzer	Madison
James J. Winiarski	Milwaukee

**APPENDIX 3
NEW MATTERS & DISPOSITIONS FOR FISCAL YEARS 2008-2018**

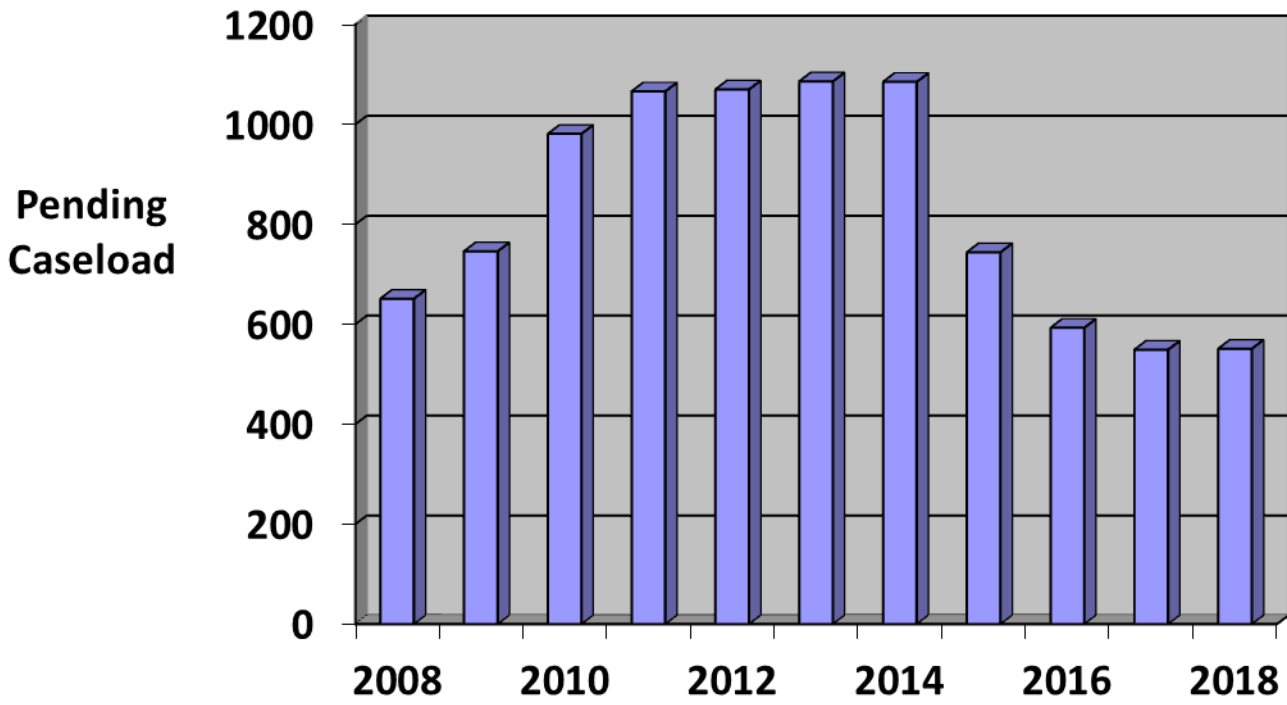
	NUMBER OF ATTORNEYS	NUMBER OF MATTERS PENDING AT BEGINNING OF FY	*ADJUSTMENTS	New Matters	Dispositions	Pending at Close of Fiscal Year
FY 2008	23,013	619	(31)	2,066	2,065	651
FY 2009	23,425	651	(106)	2,228	2,239	746
FY 2010	23,827	746	(152)	2,307	2,224	981
FY 2011	24,158	981	(156)	2,377	2,448	1,066
FY 2012	24,532	1,066	(186)	2,677	2,759	1,170
FY 2013	24,896	1,170	(198)	2,324	2,606	1,086
FY 2014	25,003	1,086	(185)	2,521	2,707	1,085
FY 2015	25,149	1,085	(156)	2,300	2,797	744
FY 2016	25,169	744	(166)	1,979	2,296	593
FY 2017	25,221	593	(159)	1,866	2,069	549
FY 2018	25,283	549	(119)	1760	1877	551

*Adjustments include appealed matters that are reopened and other administrative changes made during the fiscal year.

APPENDIX 4

PENDING CASELOAD

Fiscal Years 2008 – 2018



APPENDIX 5

EFFICIENCY AND PRODUCTIVITY FOR FISCAL YEAR 2008-2018

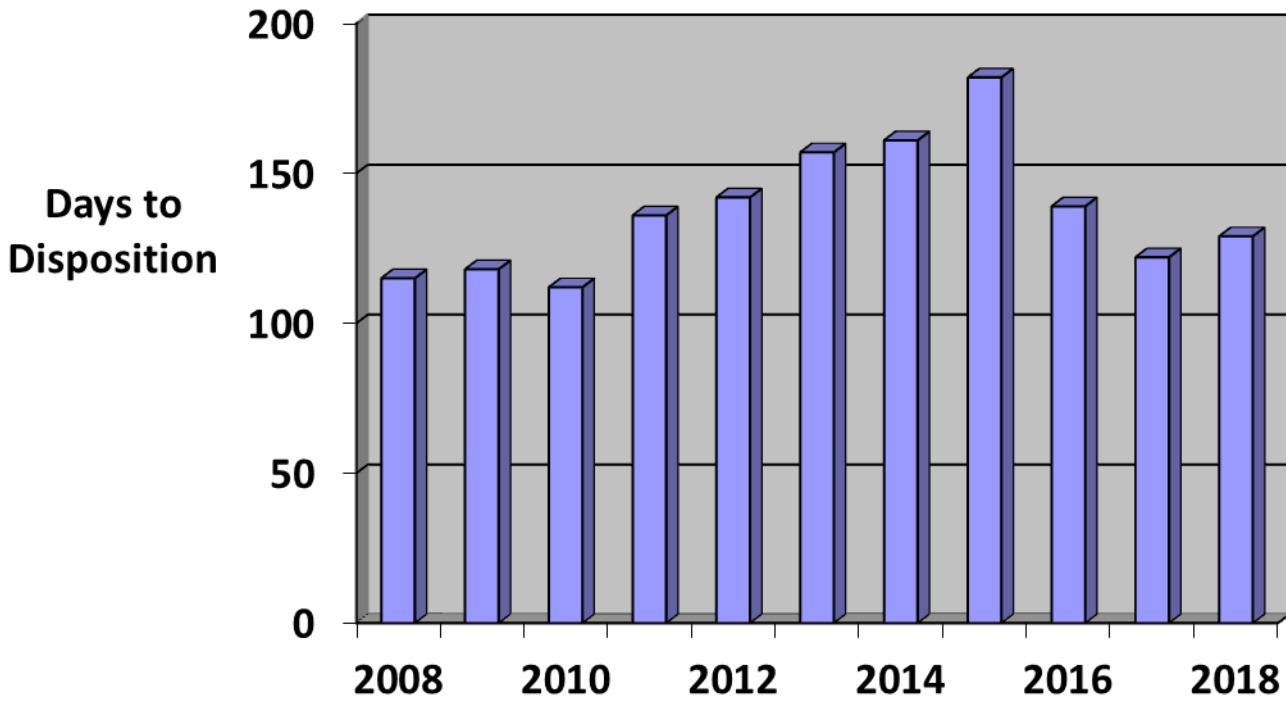
	*AVERAGE TIME FOR DISPOSTION	MATTERS OVER ONE YEAR OLD	PERCENTAGE OF MATTERS OVER ONE YEAR OLD	PERCENTAGE OF MATTERS CLOSED WITHIN 90 DAYS	PERCENTAGE OF MATTERS CLOSED WITHIN 180 DAYS
FY 2008	115 DAYS	193	27%	80%	87%
FY 2009	118 DAYS	224	27%	78%	88%
FY 2010	115 DAYS	313	33%	77%	88%
FY 2011	136 DAYS	393	36%	69%	85%
FY 2012	142 DAYS	414	34%	70%	85%
FY 2013	157 DAYS	445	41%	67%	84%
FY 2014	161 DAYS	365	34%	67%	84%
FY 2015	182 DAYS	226	30%	67%	82%
FY 2016	139 DAYS	199	33%	76%	85%
FY 2017	122 DAYS	166	29%	78%	86%
FY 2018	129 DAYS	152	27%	77%	86%

*Average time for disposition is calculated by averaging length of time it took to process a case and calculates the time for each case when matters are completed.

APPENDIX 6

AVERAGE MATTER PROCESSING TIME

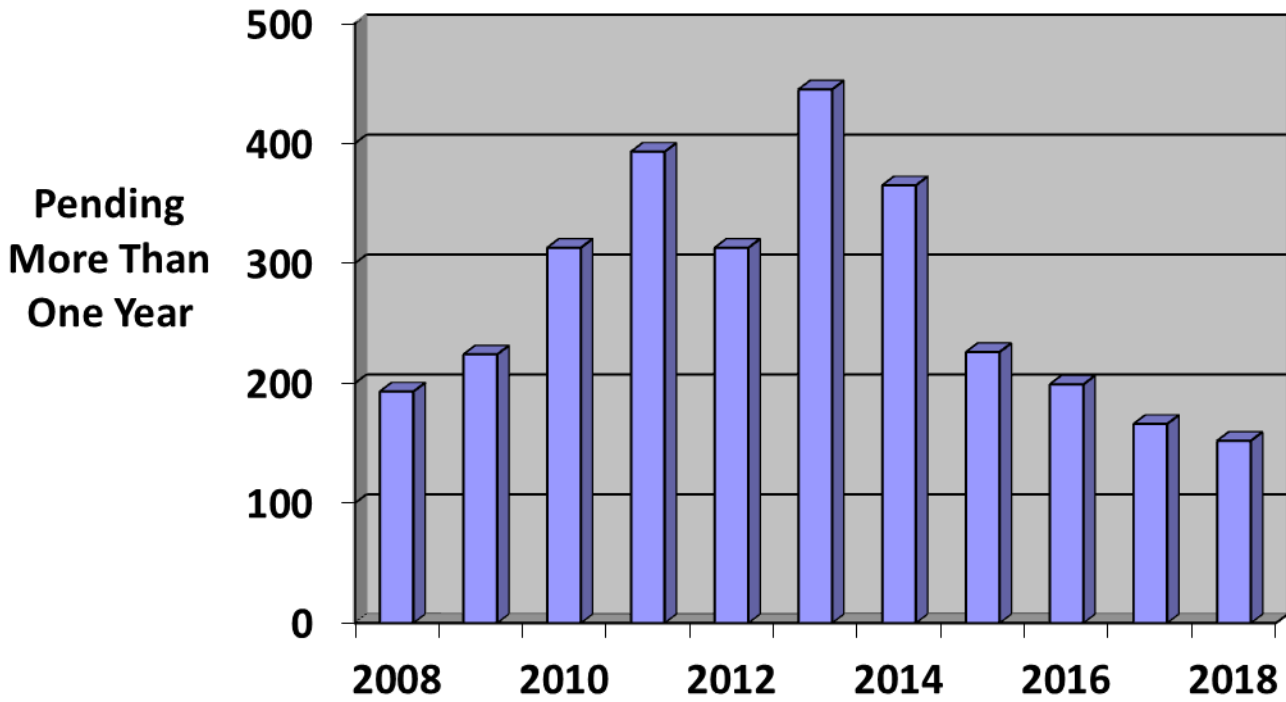
Fiscal Years 2008 – 2018



APPENDIX 7

GRIEVANCES PENDING MORE THAN ONE YEAR

Fiscal Years 2008 – 2018



APPENDIX 8A

SURVEY OF GRIEVANCES RECEIVED DURING

FISCAL YEAR 2017-2018

Categorized by Allegation

ALLEGATIONS	PERCENTAGE OF TOTAL ALLEGATIONS
Conflict of Interest	3.07%
Criminal Conduct	1.33%
Failure to Return Property	2.09%
False Statement/Tribunal	2.73%
Frivolous Action	1.1%
IFOR	1.57%
Improper Advertising	0.35%
Improper Advocacy	11.25%
Improper Communications	1.91%
Improper Termination	1.51%
Incompetence	2.32%
Lack of Communication	12.35%
Lack of Diligence	18.85%
Medical Incapacity	0.06%
Misrepresentation/Dishonesty	8.93%
Neglect	0.12%
Reciprocal Discipline	0.12%
Reinstatement	0.06%
Revealing Confidences	0.41%
Scope of Representation	12.76%
Statute, Rule, Order, Decision	1.39%
Supervise Subordinates	0.87%
Trust Account Violations	2.61%
Unauthorized Practice	1.91%
Unearned Fee	0.46%
Unreasonable Fees	5.51%
Violation of Oath	2.26%
No Allegation Listed, Not Available, Other	2.10%

APPENDIX 8B

SURVEY OF GRIEVANCES RECEIVED DURING

FISCAL YEAR 2017-2018

Categorized by Area of Practice

AREA OF PRACTICE	PERCENTAGE OF TOTAL ALLEGATIONS
Administrative & Government Law	1.16%
Bankruptcy-Receivership	2.9%
Collections, Garnishments	1.51%
Contracts, Commercial, Consumer Law	1.45%
Corporate-Banking	0.29%
Criminal Law	47.22%
Environmental	0.06%
Estate-Probate, Guardianship & Wills	5.51%
Family Law & Juvenile	16.65%
Immigration & Naturalization	.99%
Insurance	0.17%
Labor, Unemployment Compensation	0.41%
Landlord-Tenant	1.16%
Litigation	4.81%
Patent/Trademark	0.12%
Real Property Law	3.36%
Taxation	0.06%
Torts-Civil Rights	4.58%
Workers Compensation, Social Security	1.62%
Not Available-Other	5.97%

APPENDIX 8C

SURVEY OF GRIEVANCES RECEIVED DURING

FISCAL YEAR 2017 - 2018

Categorized by Source of Grievance

SOURCE OF GRIEVANCE	PERCENTAGE OF TOTAL SOURCE OF GRIEVANCE
Adverse Party	15.3%
Attorney	3.01%
Client	57.68%
Guardian ad Litem	4.17%
Judge	0.17%
OLR Staff	4.7%
Other Party	14.85%
No Source Listed	0.12%

APPENDIX 9

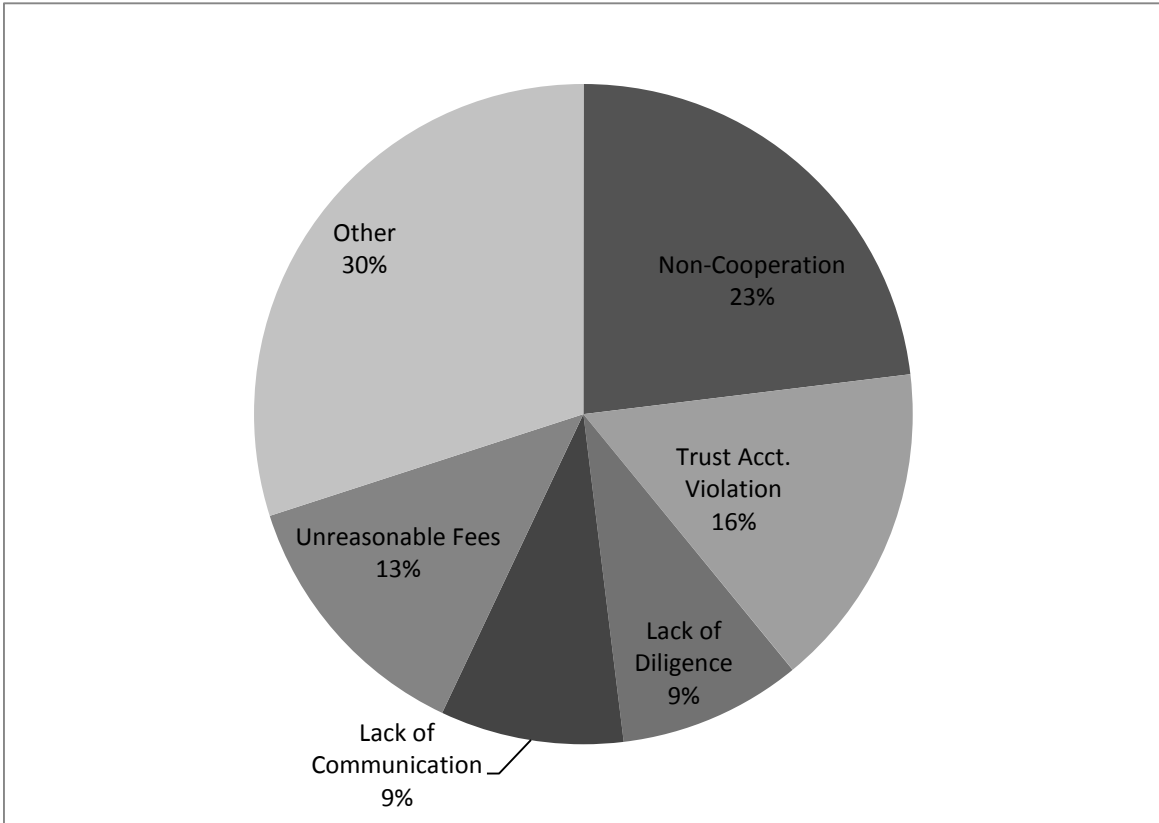
PUBLICLY DISCIPLINED LAWYERS AS A PERCENTAGE OF TOTAL LAWYER POPULATION

YEAR	NUMBER OF LAWYERS PUBLICLY DISCIPLINED	STATE BAR MEMBERSHIP	PERCENTAGE OF LAWYERS PUBLICLY DISCIPLINED
2017-18	40	25,283	0.2
2016-17	37	25,221	0.1
2015-16	42	25,169	0.2
2014-15	58	25,149	0.2
2013-14	40	25,003	0.1
2012-13	58	24,896	0.2
2011-12	46	24,532	0.2
2010-11	34	24,158	0.1
2009-10	46	23,827	0.2
2008-09	40	23,425	0.2
2007-08	38	23,013	0.2
2006-07	58	22,637	0.3
2005-06	62	22,225	0.3
2004-05	44	21,837	0.2
2003-04	66	21,518	0.3
2002-03	46	21,112	0.2
2001-02	20	20,772	0.1
2000-01	37	20,551	0.2
1999-00	24	20,167	0.1
1998-99	34	19,984	0.2
1997-98	37	19,581	0.2
1996-97	28	19,301	0.1
1995-96	33	18,938	0.2
1994-95	47	18,558	0.3
1993-94	55	17,974	0.3
1992-93	69	17,648	0.4
1991-92	50	17,407	0.3
1990-91	45	16,334	0.3
1989-90	33	15,876	0.2
1988-89	38	15,451	0.2
1987-88	39	14,942	0.3
1986-87	32	14,533	0.2
1985-86	45	14,312	0.3
1984-85	38	14,096	0.3
1983-84	45	13,536	0.3
1982-83	36	13,300	0.3

APPENDIX 10

**VIOLATIONS FOR WHICH MISCONDUCT WAS FOUND
IN PUBLIC DISCIPLINE DECISIONS**

FISCAL YEAR 2017 – 2018



OTHER

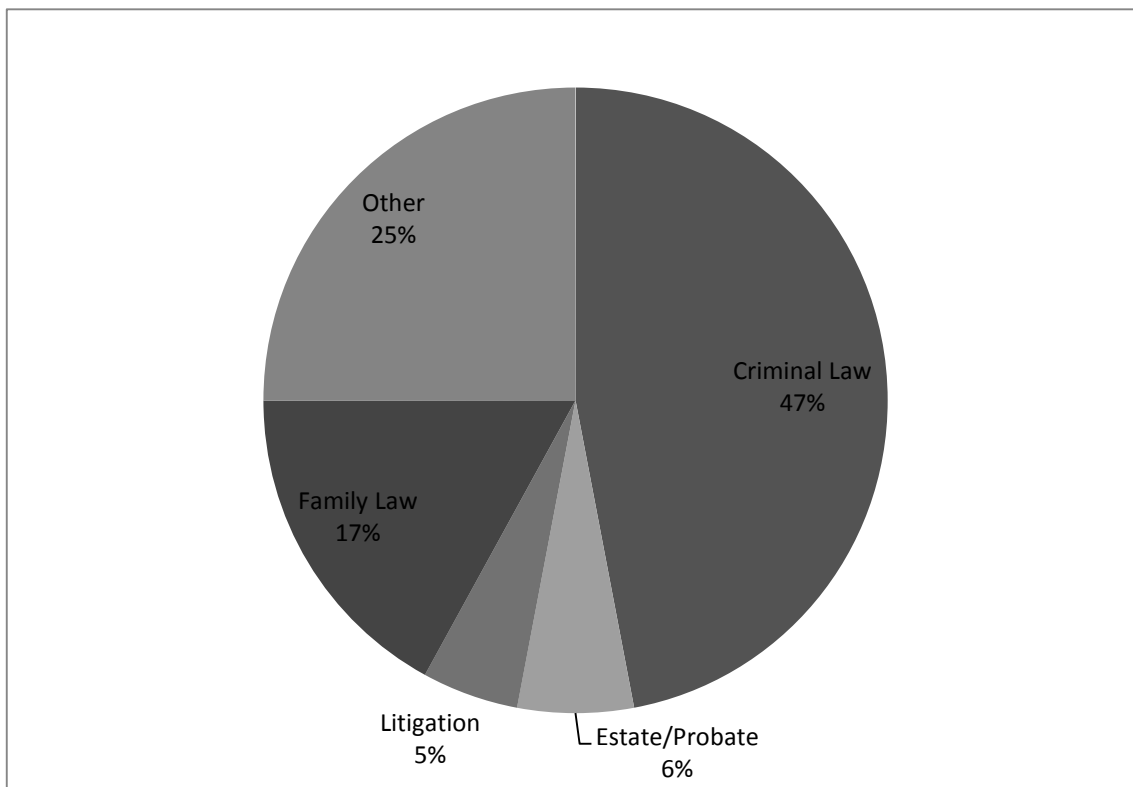
**Conflict of Interest
Failure to Return Property
Frivolous Actions
Improper Communication
Incompetence
Reciprocal Discipline
Scope of Representation
Super-Sub Responsibility
Violation of Oath**

**Criminal Conduct
False Statement/Tribunal
Improper Advocacy
Improper Termination
Misrepresentation/Dishonesty
Revealing Confidences
Statute, Rule, Order, Decision
Unauthorized Practice**

APPENDIX 11

**AREAS OF PRACTICE IN WHICH MISCONDUCT WAS FOUND
IN PUBLIC DISCIPLINE DECISIONS**

FISCAL YEAR 2017 – 2018



OTHER

**Administrative/Government
Collections/Garnishment
Corporate Banking
Immigration/Naturalization
Labor/Unemployment
Patent/Trademark
Taxation
Workers Compensation**

**Bankruptcy
Contracts/Consumer
Environmental
Insurance
Landlord/Tenant
Real Property Law
Torts/Personal**

APPENDIX 12
OFFICE OF LAWYER REGULATION
JULY 1, 2017 – JUNE 30, 2018

OUTREACH EFFORTS

DATE	PRESENTER	EVENT
7/6/17	Hoelt Smith	New Trust Account Rules-Chippewa County Bar Ass'n, Green Lake
7/20/17	Hoelt Smith	Trust Accounting & E-Banking –Wisconsin Law Journal
9/14/17	Sellen	Family Court Commissioners, Wausau
10/5/17	Sellen	U.W. Law School Seminar, Madison
10/9/17	Sellen	U.W. Law School Ethics Class, Mansfield
10/12/17	Sellen	Everyday Legal Ethics (NBI), Madison
10/19/17	Sellen	Legal Ethics 2017 (SBW), Milwaukee
10/20/17	Sellen	Legal Ethics 2017 (SBW), Madison
11/9/17	Sellen	U.W. Law School Ethics Class, Pierce
11/15/17	Sellen	Legal Action of Wisconsin, Milwaukee
11/17/17	Weigel	Ethics and Technology, Madison
12/21/17	Sellen	SBW Ethics Webinar
1/29/18	Hoelt Smith	Trust Account Record Keeping/E-Banking, Marquette Law School
2/15/18	Sellen	NBI Legal Ethics Webinar, Eau Claire
4/9/18	Spoke & Payette	Attorney Ethics, Paralegal Assn. of Wisconsin, Madison
4/18/18	Sellen	UW Law School Ethics Class Presentation, Madison