



NDCI
NATIONAL DRUG
COURT INSTITUTE



BJA
Bureau of Justice Assistance
U.S. Department of Justice

Revisiting Phases: Risk Matters

Developed by:
National Drug Court Institute

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WHY HAVE PHASES?

STRUCTURE

RECOVERY PROCESS

INCREMENTAL PROGRESS



TYPES OF PHASES

Treatment

Court



COURT REQUIREMENTS

- ✓ Comply with treatment
- ✓ Comply with supervision
- ✓ 12 Step / Support Meetings
- ✓ Community Service
- ✓ Employment
- ✓ Program Fees/Court Costs
- ✓ Phase Advancement
- ✓ Alumni/Continuing Care



COURT REQUIREMENTS

- ✓ Court Appearances
- ✓ Drug Tests
- ✓ Clean Time
- ✓ Curfew
- ✓ Ancillary Services
- ✓ Case Management
- ✓ Educational/Vocational Training/GED
- ✓ Drug-Free/Pro-Social Activities



SAMPLE PHASES



PRACTICAL IMPLICATIONS

High Risk

Low Risk

High
Need
(dependent)

- ✓ Status calendar
- ✓ Treatment
- ✓ Pro-social & adaptive habilit.
- ✓ Abstinence is distal
- ✓ Positive reinforcement
- ✓ Self-help/alumni groups
- ✓ ~ 18-24 mos. (~200 hrs.)

- ✓ Noncompliance calendar
- ✓ Treatment (separate milieu)
- ✓ Adaptive habilitation
- ✓ Abstinence is distal
- ✓ Positive reinforcement
- ✓ Self-help/alumni groups
- ✓ ~ 12-18 mos. (~150 hrs.)

Low
Need
(abuse)

- ✓ Status calendar
- ✓ Pro-social habilitation
- ✓ Abstinence is proximal
- ✓ Negative reinforcement
- ✓ ~ 12-18 mos. (~100 hrs.)

- ✓ Noncompliance calendar
- ✓ Psycho-education
- ✓ Abstinence is proximal
- ✓ Individual/stratified groups
- ✓ ~ 3-6 mos. (~ 12-26 hrs.)





HIGH RISK AND HIGH NEED

PHASE 1

ACUTE STABILIZATION

60 Days

- ✓ Court weekly
- ✓ Comply with treatment
- ✓ Comply with supervision
- ✓ Develop case plan
- ✓ Weekly office visits
- ✓ Monthly home visits
- ✓ Weekly random drug testing (minimum of 2)
- ✓ Address housing
- ✓ Obtain medical assessment
- ✓ Change people, places and things
- ✓ Curfew 9 pm

In Order to Advance:

Regular attendance at treatment

Office visits

BEING HONEST

Clean time minimum of 14
consecutive days



PHASE 2 CLINICAL STABILIZATION

90 Days

- ✓ **Court bi-weekly**
- ✓ Engage with treatment
- ✓ Comply with supervision
- ✓ Continue addressing medical needs
- ✓ Continue changing people, places & things
- ✓ **Review Case Plan**
- ✓ Weekly office visits
- ✓ Monthly Home visits
- ✓ Weekly random drug testing (minimum of 2)
- ✓ **End of phase begin to focus on peer support groups**

In Order to Advance:

Engaged with treatment
Compliance with supervision
Clean time minimum of 30
consecutive days

- ✓ **Maintain housing**
- ✓ **Addressing financial**
(budget assessment)
- ✓ **Curfew 10 pm**



PHASE 3

PRO-SOCIAL HABILITATION

90 Days

- ✓ **Court monthly**
- ✓ Engage with treatment
- ✓ Comply with supervision
- ✓ Continue addressing medical needs
- ✓ Demonstrate changing people, places & things
- ✓ Review case plan
- ✓ **Bi-weekly office visits**
- ✓ Monthly home visits
- ✓ Weekly random drug testing (minimum of 2)
- ✓ **Begin criminal thinking program**
- ✓ **Establish pro-social activity**
- ✓ **Begin recovery network**
- ✓ **Curfew 11 p.m.**

In Order to Advance:

Engaged with treatment
Compliance with supervision
Began pro-social activity
Began recovery network
Address medical
Clean time minimum of 45
consecutive days



PHASE 4

ADAPTIVE HABILITATION

90 Days

- ✓ Court monthly
- ✓ Engage with treatment
- ✓ Comply with supervision
- ✓ Continue addressing medical needs
- ✓ Continue changing people, places & things
- ✓ Review case plan
- ✓ **Monthly office visits**
- ✓ Monthly home visits
- ✓ Maintain housing
- ✓ Weekly random drug testing (minimum of 2)
- ✓ Maintain pro-social activity
- ✓ **Engage Recovery Network**
- ✓ **Curfew 12 a.m.**
- ✓ **As need based upon assessment:**
 - ✓ **Job Training**
 - ✓ **Parenting/Family Support**
 - ✓ **Vocational Training**

In Order to Advance:

- Engaged with treatment
- Compliance with supervision
- Maintain pro-social activity
- Engage recovery network
- Address medical
- Began employment, vocational training, or school
- Begin to address ancillary services
- Clean time minimum of 60 consecutive days



PHASE 5 CONTINUING CARE

90 Days

- ✓ Court monthly
- ✓ Engaged with treatment
- ✓ Comply with supervision
- ✓ Continue addressing medical
- ✓ Continue changing people, places & things
- ✓ Review case plan
- ✓ Monthly office visit
- ✓ Monthly home visits
- ✓ Maintain housing
- ✓ **Random drug testing**
- ✓ **Development of continuing care plan**
- ✓ Maintain pro-social activity
- ✓ Maintain sober network
- ✓ As need based upon assessment:
 - ✓ Job Training
 - ✓ Parenting/Family Support
 - ✓ Vocational Training

In Order to Commence:

- Engaged with treatment
- Compliance with supervision
- Maintain pro-social activity
- Maintain recovery network
- Maintain other employment, vocational training, or school
- Address ancillary services
- Address medical
- Clean time minimum of 90 consecutive days



THERE'S A LOT TO REMEMBER



SAMPLE WEEKLY SHEET

Date/Day	Time	Activity – Goal	Initials
Monday	06:00 am	Take prescription meds with breakfast	
Monday	08:00 am	Obtain picture I.D.	
Monday	2 – 4 pm	Attend Thinking for Change Group	
Tuesday	1 – 4 pm	Dialectical Behavioral Therapy (DBT) Group	
Wed.	1 – 4 pm	Dialectical Behavioral Therapy (DBT) Group	
Thursday	1 – 4 pm	Dialectical Behavioral Therapy (DBT) Group	
Friday	1 – 2 pm	Individual Session	



CONSIDERATIONS



Population

Accountability

Resources

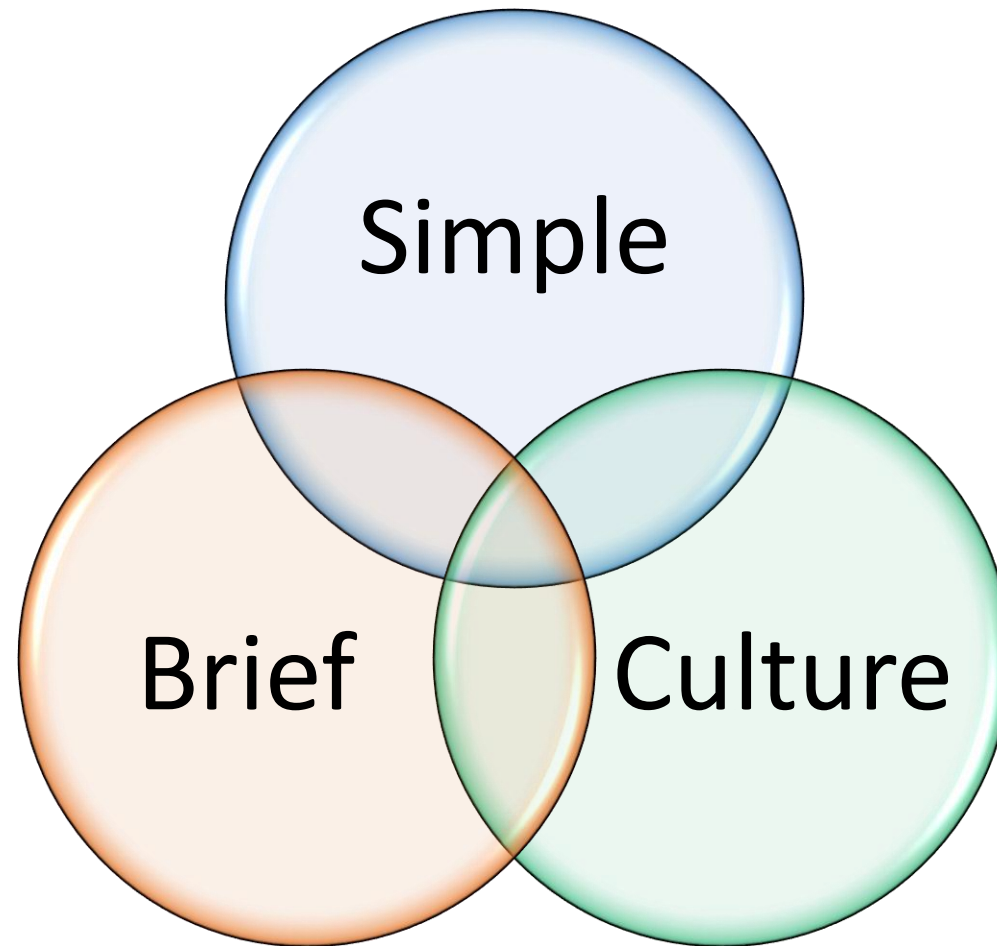
Culture

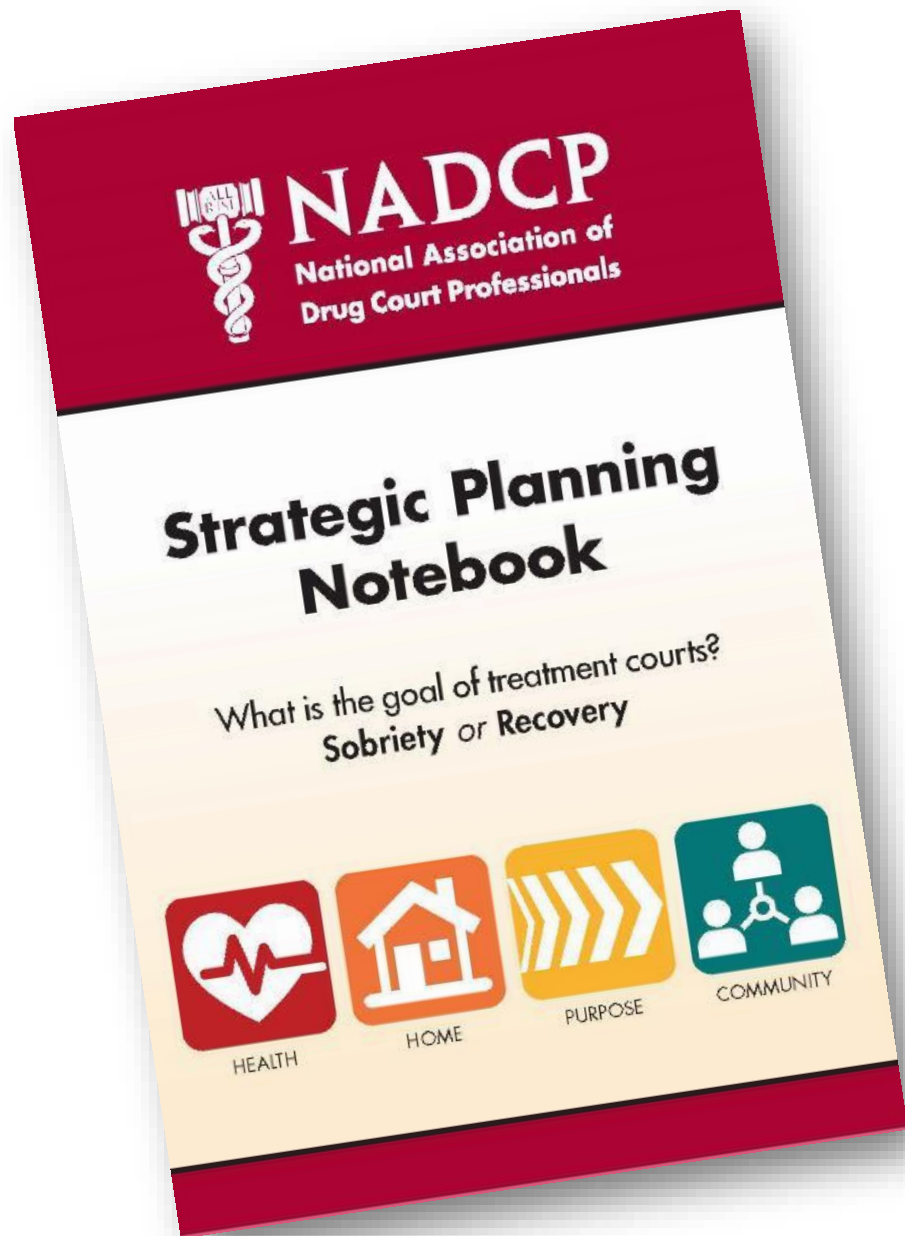
Challenges

**Realistic &
Recovery
Focus**



CLIENT HANDBOOK / CONTRACT





Strategic Planning Notebook

Connect how the topic relates to recovery

Write down these connections to recovery

Share ideas how to incorporate the topic into
your program

